# YEATES SCHOOL OF GRADUATE STUDIES

# REPORT TO SENATE, MAY 3, 2011

- 1. Complex Program Changes *Master of Business Administration* (for information)
- 2. Complex Program Changes *Management of Technology and Innovation* (*MMSc*) (for information)
- 3. Complex Program Changes *Management of Technology and Innovation* (*MBA*) (for information)
- 4. Revised Policy 142 Policy and Procedures for Admissions and Studies Master's and PhD Programs

# Motion:

To approve the changes to Policy 142 (Policy and Procedures for Admissions and Studies - Master's and PhD Programs) as submitted by YSGS Council.

# Documents Attached:

- i) Proposed changes chart
- ii) Revised Policy

Submitted by:

Debora Foster, Interim Dean

Chair, Yeates School of Graduate Studies Council

# 1. Complex Program Changes – *Master of Business Administration*

Add a new course "Principles of Management" to replace MB8003 "Marketing" as an MBA foundation course.

The MBA and MMSc programs include "Foundation", courses which are required of candidates who do not hold a BComm or equivalent.

The current Foundation requirements are: MB 8002 Quantitative Methods and Info Systems; MB8003 Marketing; MB8004 Accounting; MB8005 Finance; and, MB8006 Economics. Due to limited demand, MB8002 has not been offered; rather students are directed to take an introductory statistics course in Continuing Education. Recognizing the importance of financial, statistical and economic literacy, all courses except Marketing are being retained.

Once in the full MBA program, students get considerable marketing content in the required courses MB8107 Advanced International Marketing and MB8103 Strategy in the International Business Environment. The Management of Technology and Innovation students get core marketing content in MT8213 Technology and Organizational Strategy and MT8216 Global Markets and Technology Trends.

The new "Principles of Management" course would ensure that all non-BComm graduates in the program are introduced to the key non-financial concepts important for manager, including governance, the history of management thought, entrepreneurship, information systems and operations, as well as marketing.

The students will be ensured of having a broader general understanding of all organizational functions, including marketing, than they would have under the current curriculum.

# 2. Complex Program Changes – *Management of Technology and Innovation (MMSc)*

Changes to the MMSc program are as follows:

The current course load of eight courses will be returned to the original course load of six courses and a pass/fail seminar course as follows:

- a. Three of the six courses would be required; two research methods courses (MT8103 and MT8104) and one course in common theory in IT (MT8219: Theories of Tech and Org). The three remaining courses would be electives and would be customized for each student.
- b. Graduate level electives could be taken from any discipline including the MBA and be determined in cooperation with the student's supervisor and approved by the Program Director.
- c. A Pass/Fail research seminar course will be required for graduation. The students will be required to participate in regular research seminars over the course of the program.

Currently, the MMSc program is struggling to become an academically oriented program that services students interested in research. Since 2006, only 7 students have graduated from this program and the majority of students have extended their participation beyond the current one year limit. There are a number of issues with the current approach and we would like to make modifications that begin to address some of these issues.

In any research organization it is important to have sustainable research output. Sustainability can only be achieved through a constant flow of graduate students. In order to increase the number and quality of publications, faculty members must supervise their own graduate students. In order to grow our MMSc graduate program, new faculty members must have ready access to graduate students and a local environment that strongly supports graduate student training and interaction. Graduate student supervision also provides opportunities and experience to all faculty members for extending their knowledge in a specific research direction through coaching of the research and the student and though involvement in student publications, grant applications and research presentations.

According to the current graduate calendar, eight one-semester/one credit courses are currently required in the MMSc program (6 courses plus 2 research methods courses). This is a modification of the originally approved proposal for the MMSc program requiring 6 courses one-semester courses (taken as 12 modules). We recommend a return to the course load of 6 credit courses and a pass/fail research seminar course as in the original program and a 5 credit thesis, which will bring this program back into harmony with other thesis related master's programs.

Consistent with the comparator programs, this course load assumes that students have an undergraduate degree in business and thus have already completed courses equivalent to the first year in a two year MBA program. Students who do not possess this background will be required to complete the appropriate foundational courses or equivalents: Quantitative Methods and Info Systems, Marketing, Accounting, Finance, and Economics.

# 3. Complex Course Changes – *Management of Technology and Innovation (MBA)*

We propose to remove the two remaining 0.5 credit courses from the core requirements in the MBA/MTI program. These two courses are MT8217 Ethics and Corporate Social Responsibility and MT8205 Advanced Project Management (each worth 0.5 credit, see Table 1). A second project management course, MT8206 Advanced Project Management II is offered as an elective.

We would like to add a full credit course in Ethics and Corporate Social Responsibility, MT8108, which is already offered in the MBA Global program (MB8108 Reg Government and Soc Responsibility Mgmt) and in fact the 0.5 course required in the MBA/MTI course, MT8217, occurs within the MB8108 course (6 weeks of the 12 weeks of MB8108 makes up the MT8217 and given by the same instructor). Ethics and corporate responsibility are becoming critical topics to introduce into business education as they are becoming much more prominent in the functioning of business. Cases such as the collapse of Enron provide evidence of what can happen when ethics are either ignored or not understood well enough. As a result, we would like the Ethics and Corporate Social Responsibility to be a core course.

We would also like to combine MT8205 and MT8206 (Advanced Project Management I and II) into one full credit course Advanced Project Management MT8220. In addition, this full credit would be offered as an elective course rather than a core course. We expect that since Project Management is critical to MTI, most students will opt to take this course as a full credit elective. In this way, we preserve the program as a seven credit core and three credit elective program. Finally, we would like to delete the 0.5 credit course, MT8206, from the elective listing for the program.

The justification for this revision is that 0.5 credit courses are insufficient to support the pedagogical needs of students and the program and the logistics for managing 0.5 credit courses (finding instructors, offering appropriate electives and tracking course requirements) are onerous and unnecessary. The MBA Global and the MMSc programs have transferred to full credit courses and this revision would allow harmonization with these other programs. Finally, many students in this program have requested that they be allowed to continue

in the MT8217/MB8108 course beyond the 6 weeks and a majority of MTI students take the elective 0.5 credit in Project Management (MT8206) to complement the 0.5 credit course in the core requirement.

4. Revised Policy 142 - Policy and Procedures for Admissions and Studies - Master's and PhD Programs

# Ryerson University Yeates school of Graduate Studies MA and PhD Admissions and Studies Policy Proposed changes SUMMARY

CURRENT POLICY (changes indicated by bold font)	RATIONALE FOR CHANGE		
SECTION A: GENERAL POLICIES			
1. Admission			
1.4 <u>Transfer of Credit (p. 3)</u> Where a candidate has completed appropriate graduate courses at another accredited academic institution, <b>and a degree has not been conferred using these courses</b> , they may be recognized in partial fulfilment of Ryerson's graduate degree requirements.	Clarification of past and current practice, which is consistent with other Canadian Universities. Courses at the graduate level are not allowed to be used for more than one degree.		
1.5 Readmission (p.3)  Students who voluntarily withdraw from a program with a Satisfactory Progress Report may be considered for readmission	Clarification of past and current practice		
If a student withdraws when the only outstanding program requirement is the capstone requirement (thesis, dissertation, project, MRP, etc.) and then wishes to re-enter the program, he/she will be required to pay fees for the terms during which they were absent. This complies with the requirement for continuous registration from admission to completion of a graduate program.	This addition to the policy addresses the issue of students who withdraw, continue to work on their thesis/dissertation, and then reapply to the program when the work is almost complete, thus avoiding fees.		
2. Categories of Students (p.4)			
2.1.2. PhD Student (p.4) A graduate student who transfers from a Master's to a PhD program, without completing the Master's program, may not normally transfer back to the Master's program.	Clarification of past and current practice		

# 2.1.4 Part-time Student (p.4)

. A student may change status from full-time to part-time or part-time to full-time subject to consultation with and the approval of the program Director and the Dean of Graduate Studies. Normally, a student may not transfer from full-time to part-time when the only remaining graduation requirement is a thesis, major research paper or project.

Consistent with the policy of maintaining enrollment in the program to which student was admitted until graduation. This encourages students to complete their program in a timely manner, and discourages the avoidance of paying part-time fees while completing their thesis/dissertation on a full-time basis.

# 3. Residency, Enrolment and Fees (p.6)

# 3.3 Leave of Absence (LOA) (p.6)

#### 3.3.2 Parental leave

A parental LOA may be taken by an enrolled graduate student at the time of pregnancy, birth or adoption and/or to provide full-time care during the child's first year. Parental leave must be completed within twelve months of the date of birth or custody. Parental leave can be taken for a maximum of three semesters.

Additional section for clarification. Past and current practice.

#### 3.3.3 Terms of a Leave of Absence (p.6)

**LOA may be granted by the Program Director.** Students will not normally be granted more than one LOA during their graduate program. A LOA is normally for one term, but cannot exceed **three** terms.

Under extraordinary circumstances, students may apply for a second LOA, which must be approved by the Program Director and the Dean of Graduate Studies....

...A student who is returning from a LOA must register for a minimum of one term before completion of the program.

To give more discretion to the Program Director, and to accommodate programs which offer required courses only once per year.

New policy allowing more than one LOA in extraordinary circumstances, with a second level of authorization required.

The student should not be engaged in academic work while not enrolled and not paying fees. The student should return from the LOA and continue in their program from the point at which they started the LOA.

# 3.4 Program Transfer from Master's to Doctoral level (p.6)

.... The requirements for this type of transfer include: completion of all course requirements for the Master's degree with a minimum 3.67 GPA; demonstrated strong research potential, and Program Director and supervisor permission.

Clarifying the "specific conditions" referred to in previous policy.

# 3.6 Voluntary Withdrawal (p.7)

...If a student withdraws and subsequently wishes to return to the program... Readmission **is not guaranteed**...

Clarification of past and current practice

#### 3.7 Administrative Withdrawal (p.7)

A student who has not participated in nor paid fees for his/her program and has had no communication with her/his program for a term, will be withdrawn by the program.

Clarification of past and current practice

If a student has been withdrawn by the program for non-participation and subsequently wishes to return to the program, he/she must reapply through the Graduate Admissions Office. **Readmission is not guaranteed** and may be subject to conditions such as course or other academic work in addition to the normal curriculum.

Clarification of past and current practice

# 3.8.2 Extension of time to Completion (p.7)

Under extenuating circumstances, students may petition the **Program Director** for a one term extension of the time to completion for the program. The Program Director, in consultation with the supervisor, will make the final decision. A petition for an extension of more than one term or a second petition must be submitted to the Dean of Graduate Studies.

Currently all applications for extension require authorization by the Dean. The first extension can now be made by the Program Director, in consultation with the supervisor.

If a student does not submit a petition for extension by the last date to add a course for the term, or if the petition is not approved, the student will be withdrawn by the program. Subsequently, if the student wishes to return to the program, he/she must reapply. Readmission is not guaranteed, and may be subject to conditions such as course or other academic work in addition to the normal curriculum. A student who reapplies having worked on, or completed their research, thesis, major research paper, project, dissertation, or any other academic work, during the cancelled period will be required to enrol for at least one additional semester, and will be required to pay fees for the period of non-enrolment.

Clarification of past and current practice.

#### Academic Assessment (p.8)

# 4.2 Milestone Assessment (p. 10)

A Milestone is a component of a program which is required for graduation, but is not offered in a traditional in-class course framework. Examples are graduate seminars, theses, major research papers/projects, comprehensive/candidacy examinations, dissertations, and WHIMIS certification. The final assessment will normally be Pass/Fail.

Clarification. Definition comes from the Graduate Calendar.

Students will normally be enrolled in a Milestone when they are ready to commence work on the Milestone.

A failed course taken previously or in the same term in combination with an UNS result in a Milestone, or a second UNS result in a Milestone, will result in academic withdrawal from the program.

Current practice.

Clarification of current practice. It is not currently well understood.

# 4.3 Academic Standing (p.10)

PROVISIONAL: A student has one of the following:

- One Unsatisfactory (UNS) progress report for a Milestone, and no failed grades
- One failed grade and no Unsatisfactory (UNS) progress reports for a Milestone
- a cumulative GPA lower than 2.67 for Master's students
- a cumulative GPA lower than 3.00 for Doctoral students
- Has failed to meet a specific program requirement.

Students who fail to have a Provisional Plan approved prior to the official last date to add a course in the semester following the application of the Provisional standing, may have their enrolment cancelled for that semester, **or be withdrawn from the program.** 

Allows the student to stay on provisional standing until a required course may be repeated. For course only offered in one semester per year, this may take until the next year for the repeat to take place.

Clarification of current practice

# SECTION B: MASTER'S SUPERVISION AND THE THESIS, MAJOR RESEARCH PAPER OR PROJECT (p.11)

#### 5. Faculty Advisor/Supervisor (p. 11)

5.1 ...If a faculty advisor is initially assigned to a student in a program in which research is involved, a supervisor will be assigned as soon as the student's research area is identified.

...The role of the faculty advisor/supervisor is **to provide academic advising**, monitor the student's progress toward the completion of the program, and ensure that a *Progress Report* is submitted to the Program Director at the end of each term.

Where a thesis, major research paper or major project is part of a student's curriculum, the student's supervisor will recommend a

Clarification of past and current practice. Faculty Advisor and Supervisor were originally treated synonymously in this document.

Added to emphasize the advising role.

Deleted the appointment of a supervisory

Program of Study. In addition, the supervisor shall:	committee. This is not done for Master's students.
Program of Study. In addition, the supervisor shall.	committee. This is not done for Master's students.
5.1.2 review the student's proposal and recommend its approval to the Program Director <b>normally</b> not less than <b>one to two terms</b> (depending on the length of the program) prior to the expected date of program completion;	Changed from "not less than three months."
5.1.4 assign an UNS assessment for the term's progress on a Progress Report, in the event that the student does not present a Progress Report for review, and the student's Academic Standing will be adjusted accordingly;	To address the issue of students who do not meet with their supervisor.
5.1.5 evaluate the readiness of the thesis (and the paper or project if required) to be examined orally, and make a recommendation to the Program Director regarding a date for the defence and the composition of the Examining Committee;	The responsibility for appointing the examining committee was previously omitted from the Policy, but this has been the practice.
6 Master's Examinations (p. 12)	
6.1 Requirement for examination (p. 12)  Master's theses are subject to formal oral examinations. Major research papers or projects that are not components of individual courses in a program may be subject to formal written and/or oral examination as required by the program.	Clarifies current practice that <u>all</u> theses require an oral examination.
6.2 <u>Readiness for Examination</u> (p. 12)  The supervisor, in consultation with the student, will determine that the written work is ready to stand for defence and will establish an Examining Committee and schedule the defence.	New section that in paragraph one clarifies current practice and in paragraph two provides a solution to a supervisory issue which may occur.
In cases where consensus is not reached with regard to readiness, the student has the right to petition the program Director in order to have the written work stand for defence, establish the Examining Committee and schedule the defence. Where the Program Director is the student's supervisor, the student may petition the Dean of Graduate Studies.	
6.4 Conduct of the Oral Examination (Master's) (p.13)	Previously this section had PhD and Master's procedures combined and was confusing.

<sup>&</sup>lt;sup>18</sup> In special circumstances, alternate arrangements may be made for an oral examination, as approved by the Program Director.

6.4.1 Circulation of the thesis (p. 13)  The candidate will provide sufficient copies of the thesis for each committee member. A copy will be given to each member of the committee no less than four weeks before the defense.	Current and past practice
6.4.7 Decisions (p. 13)	Post and surrent practice
The student must pass both the oral examination and the written work.	Past and current practice.
Major Revision	
If the decision by consultation on the major revisions is unsatisfactory, the examination is reconvened.	New addition in the spirit of fairness to the student to defend the major revisions.
Upon reconvening, a final "Report of the Oral Examining Committee" should be completed and signed by all members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. Decisions are limited to Accept or Fail.	
6.5 Submission and Deposit of a Thesis (p. 13)	Internal procedures were deleted; Library and Archives Canada information was updated.
SECTION C: DOCTORAL SUPERVISION AND THE DISSERTATION (p.15)	
7 Faculty Advisor/Supervisor (p.15)	
If a faculty advisor is initially assigned, a supervisor will be assigned as soon as the student's research area is identified.	Clarification of past and current practice. Faculty Advisor and Supervisor were originally treated synonymously in this document.
8. PhD Preparatory Phase (p.15	
Every Doctoral program requires that the student complete one or more preparatory or foundation phases. This may take the form of one or more of the following examples: comprehensive examination, candidacy examination, qualifying examination, dissertation proposal, and/or proposal defence	Examples added.
In addition, individual programs may require an internal preliminary examination before approval to go to formal presentation which may	Added to reflect current practice.

include an external examination.	
morade differential examination.	
9. Dissertation (p.15)	
9.1 Supervision (p. 15)	
The Program Director shall forward to the Dean of Graduate Studies the	Pro-rating the time to completion for P/T students
recommendations for committee appointments, normally not later than the	was deleted from this paragraph, since they have
beginning of the third year of study.	the same time to completion as FT students.
9.1.5 (p. 15)	
review the student's progress on the dissertation at least once a term. The	More detail added regarding the supervisor's
progress must be reported on the Progress Report, which is to be written in consultation with the student. If the progress is deemed	responsibility for reviewing students' progress, reflecting past and current practice.
unsatisfactory (i.e. a UNS designation is given on the Progress	3   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100   100
Report), detailed reasons for this judgement should be included on the Progress report, as well as specific instructions on deliverables for the	
following term. The student's Academic Standing will become Provisional and the Progress Report in this case will act as the	
Provisional contract. A copy of the Progress Report should be given	
to the student, the Program Director, and the Director of Academic Administrative Services, Graduate Studies.	
9.1.7 (p. 16)	
ensure that a copy of the student's dissertation is sent to the external examiner as far as possible in advance of a scheduled oral examination, but	Changed from 4 to provide sufficient time for external examiner to review and write report.
no less than <b>six</b> weeks prior to the date scheduled.	'
9.2 Readiness for Examination (p.16)	
The supervisor, in consultation with the student, will determine that	
the dissertation is prepared to stand for defence.	
In cases where consensus is not reached with regard to readiness, the	New section that in paragraph one clarifies current practice and in paragraph two provides a solution to
student has the right to petition the Program Director in order to have	a supervisory issue which may occur.
the dissertation stand for defence. Where the Program Director is the student's supervisor, the student may petition the Dean of Graduate	
Studies.	
9.3 Examining Committee (p. 16)	
The Examining Committee will normally be composed of the	Correction from "One member of the supervisory
supervisor/co-supervisor	committee"
9.4.1 Copies of the dissertation (p. 17)	New section. Current practice.
The candidate will provide to the supervisor sufficient copies of the	
The candidate will provide to the supervisor sufficient copies of the	

dissertation for all committee members.	
9.4.4 Non-Attendance (p. 17)	
If any committee member is absent, and has not been replaced by a delegate, the examination may proceed only with the approval of <b>the student</b> and the Dean of Graduate Studies or his/her delegate	In the interest of fairness to the student.
<b>9.4.8 Decisions</b> (p. 18)	
If the external examiner is not in attendance, and the committee cannot reach a decision, the Chair will consult with the External Examiner in a timely manner. If necessary, the Chair will cast the deciding ballot.	
Major Revision	
If the decision by consultation on the major revisions is unsatisfactory, the examination is reconvened.	
Upon reconvening, a final "Report of the Oral Examining Committee" should be completed and signed by all members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. Decisions are limited to Accept or Fail.	New addition in the spirit of fairness to the student to defend the major revisions.
6.5 and 9.5 Submission & Deposit of a Thesis/Dissertation (p. 14 and 19)	Internal procedures were deleted; Library and Archives Canada information was updated.
6.4.9 and 9.4.10 Recommendation for Governor-General's Gold Medal for Academic Excellence (p. 14 and 19)	Procedures were eliminated. Policy of recommendation remains.

# POLICY 142 – POLICIES AND PRODECURES FOR ADMISSIONS AND STUDIES (MASTER'S AND PHD PROGRAMS)

# **SECTION A: GENERAL POLICIES**

# 1. Admission

	1.	1	General	Admission	Rec	uirem	ents
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Applicants for admission to a Master's Program:

Applicants for admission to a PhD Program

- 1.2 English Language Proficiency
- 1.3 Program Specific Admission Requirements
- 1.4 Transfer of Credit
- 1.5 Readmission
- 1.6 Admission Decisions

# 2. Categories of Students

- 2.1 Program Students
  - 2.1.1 Master's Student
  - 2.1.2. PhD Student
  - 2.1.3 Full-time Student (to be developed)
  - 2.1.4 Part-time Student

# 2.2 Non-Program Students

- 2.2.1 Ontario Visiting Graduate Students (OVGS)
- 2.2.2 Canadian Visiting Graduate Students (CVGS)
- 2.2.3 Graduate Special Students
- 2.2.4 Exchange Students(to be developed)
- 2.2.5 Visiting Research Students (to be developed)

# 3. Residency, Enrolment and Fees

- 3.1 Residency
- 3.2 Continuous Enrolment
- 3.3 Leave of Absence (LOA)
  - 3.3.1 Medical or compassionate leave
  - 3.3.2 Parental leave
  - 3.3.3 Terms of a Leave of Absence
- 3.4 Transfer from Master's to PhD
- 3.5 Course Related Policies
- 3.6 Voluntary Withdrawal
- 3.7 Administrative Withdrawal
- 3.8 Time to completion
  - 3.8.1 Maximum time to completion
  - 3.8.2 Extension of time to Completion

# 3.9 Fees

# 4. Academic Assessment

- 4.1 Course Assessment
  - 4.1.1 Grading System
  - 4.1.2 Other Course Performance Designations
- 4.2 Milestone Assessment
- 4.3 Academic Standing

# SECTION B: MASTER'S SUPERVISION AND THE THESIS, MAJOR RESEARCH PAPER OR PROJECT

- 5. Faculty Advisor/Supervisor
- 6. Master's Examinations
  - 6.1 Requirement for examination
  - 6.2 Readiness for Examination
  - 6.3 Examining Committee Composition
    - 6.3.1 Thesis Examining Committees
    - 6.3.2 MRP Examining Committees
  - 6.4 Conduct of the Oral Examination
    - 6.4.1 Circulation of the thesis
    - 6.4.2 Role of the Chair
    - 6.4.3 Non-Attendance
    - 6.4.4 Presentation
    - 6.4.5 Questions (which follow the oral presentation)
    - 6.4.6 Deliberations
    - 6.4.7 Decisions
    - 6.4.8 Copies of the Written Work
    - 6.4.9 Recommendation for Governor-General's Gold Medal for Academic Excellence
  - 6.5 Submission and Deposit of a Thesis

# SECTION C: DOCTORAL SUPERVISION AND THE DISSERTATION

- 7. Faculty Advisor/Supervisor
- 8. PhD Preparatory Phase
- 9. Dissertation
  - 9.1 Supervision
  - 9.2 Readiness for Examination
  - 9.3 Examining Committee
  - 9.4 Conduct of the Oral Examination
    - 9.4.1 Circulation of the dissertation
    - 9.4.2 External Examiner's Report
    - 9.4.3 Role of the Chair
    - 9.4.4 Non-Attendance
    - 9.4.5 Presentation
    - 9.4.6 Questions (which follow the oral presentation)
    - 9.4.7 Deliberations
    - 9.4.8 Decisions
    - 9.4.9 Copies of the Dissertation
    - 9.4.10 Recommendation for Governor-General's Gold Medal for Academic Excellence
    - 9.5 Submission and Deposit of Dissertation

#### **SECTION A: GENERAL POLICIES**

#### 1. Admission

# 1.1 General Admission Requirements

The following University requirements govern admission to all graduate Programs at Ryerson.

#### Applicants for admission to a Master's Program:

- a) will have graduated from a four-year approved undergraduate university Program or equivalent,
- b) will have a minimum of a B cumulative GPA or equivalent in the final two years of the Program, and
- c) will have demonstrated a capacity to succeed in the Program for which they have applied.

# Applicants for admission to a PhD Program:

- a) should hold an acceptable Master's degree with at least a B standing, and
- b) will have demonstrated a capacity to succeed in the Program for which they have applied.

#### 1.2 English Language Proficiency

Applicants whose language of instruction during their undergraduate studies was other than English will be required to take a Test of English Proficiency. Minimum achievement scores for the Yeates School of Graduate Studies and its programs will be posted on the graduate admissions website.

# 1.3 Program Specific Admission Requirements

Where individual programs have additional requirements, these will be listed on the Yeates School of Graduate Studies Admissions website, on the programs website and where admission requirements are listed on program publications.

#### 1.4 Transfer of Credit

Where a candidate has completed appropriate graduate courses at another accredited academic institution, and a degree has not been conferred using these courses, they may be recognized in partial fulfilment of Ryerson's graduate degree requirements. A limit of 50% of the course requirements may be from courses taken outside of the program.

Responsibility for assessing the appropriateness of such courses shall rest with the Director of the program. Credit for such work shall not exceed fifty percent of the program's degree course requirements.

#### 1.5 Readmission

Students who voluntarily withdraw from a program with a Satisfactory Progress Report may be considered for readmission. As a condition of re-admission, additional course work or other academic work may be required by the program.

If a student withdraws when the only outstanding program requirement is the capstone requirement (thesis, dissertation, project, MRP, etc.) and then wishes to re-enter the program, he/she will be required to pay fees for the terms during which they were absent. This complies with the requirement for continuous registration from admission to completion of a graduate program.

# 1.6 Admission Decisions

Final authority for admission decisions rests with the Dean of Graduate Studies.

The Graduate Admissions Office will provide the administrative support structure and is responsible for the formal offer of admission or non-approval letters. Non-approved application files are kept for one year from the date of submission. Admission decisions are final.

Ryerson reserves the right to close the application process for programs without notice.

# 2. Categories of Students

# 2.1 Program Students

#### 2.1.1 Master's Student

An applicant who has met the Yeates School of Graduate Studies and program admission requirements, and who has accepted an official offer of admission, may be admitted to a Master's program.

Where an applicant has met the minimum requirements of the Yeates School of Graduate Studies but may require one or two additional undergraduate credits to meet the admission requirements of the program, the candidate may be admitted into a program with specific post-admission conditions. The conditions will be defined in the offer of admission to the candidate and on the student's Program of Study, as will the number of semesters, normally one or two, allowed to successfully fulfil the requirements. Other conditions which may be required by a program will also be defined in the offer of admission and/or the Program of Study. Failure to meet the post-admission conditions will result in Withdrawal from the program.

#### 2.1.2. PhD Student

An applicant who has met the Yeates School of Graduate Studies and program admission requirements and who has accepted an official offer of admission may be admitted to a PhD program. Normally, PhD candidates will pursue full-time studies.

Under certain circumstances, a Master's candidate can apply to transfer to a PhD program, and vice-versa. Such transfer is subject to the approval of the graduate Program Director and the candidate's acceptance of any specific conditions. The specific conditions will be defined in the offer of admission to the candidate, including the number of terms allowed to successfully fulfil the requirements.

A graduate student who transfers from a Master's to a PhD program, without completing the Master's program, may not normally transfer back to the Master's program.

#### 2.1.3 Full-time Student

To be developed

# 2.1.4 Part-time Student

A part-time student may not register in more than two courses per term. A student may change status from full-time to part-time or part-time to full-time subject to consultation with and the approval of the program Director and the Dean of Graduate Studies. Normally, a student may not transfer from full-time to part-time when the only remaining graduation requirement is a thesis, major research paper or project.

#### 2.2 Non-Program Students

# 2.2.1 Ontario Visiting Graduate Students (OVGS)

The Ontario Visiting Graduate Student (OVGS) plan allows a graduate student registered at another Ontario university (the home university) to enrol in graduate courses at another Ontario university (the host university) while remaining registered at the home university.

Students accepted at Ryerson University using this plan are enrolled in the "OVGS" program. The application for admission will not be complete until the request has been approved by the graduate Program Director and the Dean of Graduate Studies of both the home university and Ryerson.

Students register at, pay fees to, and may continue to receive funding from their home university and are enrolled as OVGS students at Ryerson, where they pay no fees. An administrative fee is paid to Ryerson by the home university.

The courses selected must meet the requirements for the student's degree program. Normally, there must be no comparable course(s) offered at the home university. Such courses may not be in addition to their curriculum requirements, nor may they be audited. Normally, a visiting student will be allowed to enrol in the equivalent of two one-credit courses under this plan.

An OVGS student is subject to all Ryerson University administrative and academic requirements, including the significant dates that apply to graduate student enrolment at Ryerson.

# 2.2.2 Canadian Visiting Graduate Students (CVGS)

The Yeates School of Graduate Studies at Ryerson will allow a graduate student registered at a Canadian university (the home university) outside of Ontario to enrol in a graduate course at Ryerson while remaining registered at and paying tuition fees to his/her home university. The <u>student</u> will be required to pay an administrative fee equivalent to the amount set by the OVGS program per one-term credit. Students register at, pay fees to, and may continue to receive funding from their home university and are admitted to the "CVGS" program at Ryerson University.

The application for admission will not be complete until the request has been approved by the graduate Program Director and the Dean of Graduate Studies of both the home university and Ryerson.

The courses selected must meet the requirements for the student's degree program. Normally, there must be no comparable course(s) offered at the home university. Such courses may not be in addition to curriculum requirements and may not be audited. Normally, a visiting student will be allowed to enrol in the equivalent of two one-credit courses under this plan.

A CVGS student is subject to all Ryerson University administrative and academic requirements, including the significant dates that apply to graduate student enrolment at Ryerson.

#### 2.2.3 Graduate Special Students

Under exceptional circumstances, students may apply to become a "Graduate Special Student" if they meet the eligibility requirements for the Yeates School of Graduate Studies and the program which offers the course that the student is applying to take. A Special Student Application form may be obtained from the Graduate Admissions Office, and all documentation and fees required of a program student must be submitted to the Graduate Admissions Office.

Graduate Special Students are limited to a maximum of two graduate courses. Access to courses is subject to approval of the Program Director and the instructor of the course, space permitting.

Special Students are eligible for evaluation in the courses taken, but courses taken as a Special Student may not be used subsequently for credit in a Ryerson graduate program.

#### 2.2.4 Exchange Students

To be developed.

# 2.2.5 Visiting Research Students

To be developed.

#### 3. Residency, Enrolment and Fees

#### 3.1 Residency

Students must complete at least 50% of their program's degree course requirements, and a thesis, major project, major research paper or dissertation, where applicable, while enrolled in the program from which they are graduating.

#### 3.2 Continuous Enrolment

Students in graduate programs will be required to maintain continuous enrolment in every semester of their program until all requirements of their program have been met, unless they have been granted a Leave of Absence or have withdrawn from the program.

# 3.3 Leave of Absence (LOA)

#### 3.3.1 Medical or Compassionate leave

In cases such as severe illness or extreme financial difficulty, a student may petition for a LOA.

#### 3.3.2 Parental leave

A parental LOA may be taken by an enrolled graduate student at the time of pregnancy, birth or adoption and/or to provide full-time care during the child's first year. Parental leave must be completed within twelve months of the date of birth or custody. Parental leave can be taken for a maximum of three semesters.

#### 3.3.3 Terms of a Leave of Absence

LOA may be granted by the Program Director. Students will not normally be granted more than one LOA during their graduate program. A LOA is normally for one term, but cannot exceed three terms.

Under extraordinary circumstances, students may apply for a second LOA, which must be approved by the Program Director and the Dean of Graduate Studies.

Students who are granted a LOA will not be required to pay fees during the leave, nor will that term of the leave be included in the calculation of time to completion for the degree. Students on a LOA will not be entitled to use the services of the University or the program, during the leave.

A student who is returning from a LOA must register for a minimum of one term before completion of the program.

The student should discuss in advance, what conditions, if any, need to be met upon return.

A student who fails to return from a LOA on the expected date will be withdrawn from his/her program.

# 3.4 Program Transfer from Master's to Doctoral level

In exceptional circumstances, a Ryerson Master's student may transfer into a Ryerson Doctoral program, without completing the Master's degree. The requirements for this type of transfer include: completion of all course requirements for the Master's degree with a minimum 3.67 GPA; demonstrated strong research potential, and Program Director and supervisor permission.

Subsequent to such a transfer, if the Doctoral program is not completed, the student may not transfer back to the Master's program, nor receive a Master's degree in that program.

# 3.5 Course related policies

- 3.5.1 All graduate course additions and deletions must be authorized by the student's program and all must be initiated by the dates listed on the Significant Dates in the Graduate Calendar.
- 3.5.2 A \$100 (subject to change) Addition to Enrollment Record fee will be levied for each course or grade added to a student's enrollment after the deadline dates.

- 3.5.3 Students may substitute a different course for a previously failed elective course, with authorization from the student's program. Students are required to submit a GPA Adjustment Form to Enrollment Services and Student Records no later than the final date to add a course for the term.
- 3.5.4 Students may be allowed to repeat a course once, with authorization from the Program Director, which would not normally be withheld. The original grade will continue to appear on the student's academic record, but only the last grade achieved for a repeated course (whether higher or lower) will count in the GPA. If at least one of the course attempts results in a passing grade, the course will count towards graduation requirements.

# 3.6 Voluntary Withdrawal

A student who is unable to participate in a Program of Study, or who finds it necessary to discontinue in their program, must officially withdraw from the program

If a student withdraws and subsequently wishes to return to the program, he/she must reapply through the Graduate Admissions Office. Readmission is not guaranteed, and may be subject to conditions such as course or other academic work in addition to the normal curriculum.

# 3.7 Administrative Withdrawal

A student who has not participated in nor paid fees for his/her program and has had no communication with her/his program for a term, will be withdrawn by the program.

If a student has been withdrawn by the program for non-participation and subsequently wishes to return to the program, he/she must reapply through the Graduate Admissions Office. Readmission is not guaranteed and may be subject to conditions such as course or other academic work in addition to the normal curriculum.

#### 3.8 Time to completion

# 3.8.1 Maximum time to completion

For students in a full-time Master's program, three years is the maximum time from initial registration to completion.

For students in a part-time Master's program, five years is the maximum time from initial registration to completion. Part-time Master's students should be aware that there is a minimum degree fee based on the minimum time to completion for a full-time student in the program, as stated on the YSGS website. A "Balance of Degree Fee" is assessed just prior to graduation, and is based on tuition fees only, as published on the fees schedule in effect at the time of graduation.

For students who request a change in status from part-time to full-time or from full-time to part-time, the effect on the time for completion will be pro-rated.

For students registered in a PhD program (part- or full-time), the time for completion of the program is six years from their initial registration in the program.

#### 3.8.2 Extension of time to Completion

Under extenuating circumstances, students may petition the Program Director for a one term extension of the time to completion for the program. The Program Director, in consultation with the supervisor, will make the final decision. A petition for an extension of more than one term or a second petition must be submitted to the Dean of Graduate Studies.

If a student does not submit a petition for extension by the last date to add a course for the term, or if the petition is not approved, the student will be withdrawn by the program. Subsequently, If the student wishes to return to the program, he/she must reapply. Readmission is not guaranteed, and may be subject to conditions such as course or other academic work in addition to the normal curriculum. A student who reapplies having worked on, or completed their research, thesis, major research paper, project, dissertation, or any other academic work., during the cancelled period will be required to enrol for at least one additional semester, and will be required to pay fees for the period of non-enrolment.

#### 3.9 Fees

Students are required to pay fees from initial enrolment in a program until graduation or official withdrawal. However, students who are granted a Leave of Absence(LOA) will not be required to pay fees during the leave, nor will that term of the leave be included in the calculation of time to completion for the degree. Students on a LOA will not be entitled to use the services of the University or the program, during the leave.

There is a minimum degree fee based on the minimum time to completion for a full-time student in the program, as stated on the YSGS website. If a student completes his/her program without paying the minimum degree fee, a "Balance of Degree Fee" may be assessed just prior to graduation. The fee is based on tuition fees only, as published on the fees schedule in effect at the time of graduation.

#### 4. Academic Assessment

#### 4.1 Course Assessment

Satisfactory performance requires a grade of at least B- at the Master's level and at least B for the Doctoral level, or a Pass i Pass/Fail courses, in all courses taken for credit towards graduation requirements.

#### 4.1.1 Grading System

Master's Level		Doctoral Level		
Letter Grade	Conversion Range	Letter Grade	Conversion Range	
	Percentage Scale		Percentage Scale	
	to Letter Grades		to Letter Grades	
A+	90-100	A+	90-100	
Α	85-89	Α	85-89	
A-	80-84	A-	80-84	
B+	77-79	B+	77-79	
В	73-76	В	73-76	
B-	70-72	F	0-72	
F	0-69			

Final assessment for courses is either recorded as one of the above letter grades or as one of the other designations that are defined below.

#### 4.1.2 Other Course Performance Designations

AEG: (Aegrotat) - credit granted by the Dean of Graduate Studies, in consultation with the instructor, only under exceptional circumstances when there has been acceptable performance in a course and some course work remains to be completed.

AUD: (Audit) – course attended as auditor only. A graduate student may audit the equivalent of one twocredit course or two one-credit courses in any graduate or under-graduate program at Ryerson without additional fee.

Courses taken for audit will not count for credit toward the student's Program, but will appear on the student's transcript with the designation "AUD" in lieu of a grade.

To audit a course, a student must have written approval from his/her supervisor and the Instructor for the course. Any requirements of the auditor must be defined in writing by the Instructor at the time of authorization. Failure of the auditor to fulfil the requirements will result in withdrawal from the course.

CNC: (Course not for credit) - course not for credit in the current program, this designation is recorded on the transcript as information supplementary to the grade earned in the course.

CRT: (Credit) - transfer credit achieved through an acceptable grade in an equivalent graduate course which has been completed at Ryerson or in a graduate program at another institution and which is deemed equivalent to a course in the student's graduate program. Equivalency is determined by the Program Director or faculty member who is responsible for teaching the course in the student's

graduate program. Such credit should be granted as a part of the admission process. (See also section 1.4 Transfer of Credit.) For a student already registered in the Program, this type of credit will normally require a Letter of Permission from the student's graduate Program Director prior to registering in the course.

Transfer credits will count toward the student's graduation requirements. A student may not receive transfer credits for more than 50% of his/her current Program course requirements.

- DEF (Deferred) an interim grade assigned during the investigation of academic misconduct (as described under the Student Code of Academic Conduct). The DEF grade will be replaced by an official course grade upon resolution of the matter.
- FNA: (Failure, Non Attendance) awarded by the instructor when the student has been absent from most meetings and/or has submitted no work for evaluation. This grade will be assigned when a student abandons a course without completing a formal withdrawal prior to established deadline dates. This grade is counted as a failure in the calculations of grade point average and academic standing.
- GNR: (Grade not recorded) grades have not been submitted for an entire class. The student should immediately initiate an inquiry with the faculty member and/or graduate program.
- INC: (Incomplete) incomplete course work or a missed final examination due to documented medical or compassionate grounds\*. An INC can be awarded only when some work remains to be completed and when the completion of the outstanding work or an alternative final examination may result in a passing grade. The outstanding work or alternative examination must be completed by a specified date not later than the end of classes in the next term. The INC will be replaced by an official course grade when the work is completed, or with an "F" if not completed. An INC is not included in GPA calculation, nor as a credit or failed course.
  - \*Students must petition their instructor to receive an INC within three working days, or as soon as reasonably possible, of the missed final examination or final assignment deadline. Supporting documentation (e.g. Ryerson Medical Certificate) must be provided. Instructors awarding an INC grade must provide the student, within seven working days, with a written statement of outstanding work to be completed and the deadline for completion or alternate examination. The instructor must also file a copy of this documentation with the student's graduate program office.
- INP: (In Progress) indicates coursework in progress with at least one more term of formal course enrolment and study is required for completion (e.g. extended absence requires repeating the course, or a Directed Study course is still in progress). An INP is not included in GPA calculation, nor as a credit or failed course.
- PSD: (Passed) acceptable performance in a course graded only pass or fail, as predefined in the Graduate Calendar.

#### 4.2 <u>Milestone Assessment</u>

A Milestone is a component of a program which is required for graduation, but is not offered in a traditional inclass course framework. Examples are graduate seminars, theses, major research papers/projects, comprehensive/candidacy examinations, dissertations, and WHIMIS certification. The final assessment will normally be Pass/Fail.

Students will normally be enrolled in a Milestone when they are ready to commence work on the Milestone.

A student with satisfactory performance in a Milestone (as recorded on the Progress Report) will continue in with "INP" (in progress) on the Progress Report in every term until completion. A student with unsatisfactory performance during a term will have UNS (unsatisfactory) on the Progress Report. An UNS is equivalent to an F for Academic Standing calculations.

A failed course taken previously or in the same term in combination with an UNS result in a Milestone, or a second UNS result in a Milestone, will result in academic withdrawal from the program.

# 4.3 Academic Standing

At the end of each semester, grades will be published on the Ryerson student administrative system, indicating students' academic standing for that term.

CLEAR:

Master's students must have at least a minimum passing grade of 2.67(or PSD in the case of a pass/fail graded course) in each graduate course during the semester.

PhD students must have at least a minimum passing grade of 3.00 (or PSD in the case of a pass/fail graded course) in each graduate course during the semester.

Students with a CLEAR standing may continue in their Program of Study.

PROVISIONAL: A student has one of the following:

- One Unsatisfactory (UNS) progress report for a Milestone, and no failed grades
- One failed grade and no Unsatisfactory (UNS) progress reports for a Milestone
- a cumulative GPA lower than 2.67 for Master's students
- a cumulative GPA lower than 3.00 for Doctoral students
- Has failed to meet a specific program requirement.

A students with PROVISIONAL standing may not continue his/her Program of Study until a specific Provisional Plan to correct academic deficiencies has been authorized in writing by their supervisor and Program Director, signed by the student, and recorded with the Office of the Registrar. Normally the deficiencies must be addressed within a maximum of one year. Students who are substituting a different course for a failed course must request, before the last day to add courses, that the substituted course be used in place of the failed course for GPA calculation and graduation requirements. (see also section 3.5.3)

Students who fail to have a Provisional Plan approved prior to the official last date to add a course in the semester following the application of the Provisional standing, may have their enrolment cancelled for that semester, or be withdrawn from the program.

WITHDRAWN: A student has unsatisfactory performance in one of the following:

- Two Unsatisfactory (UNS) progress reports for a Milestone
- Two failed grades
- One failed grade and one Unsatisfactory (UNS) progress report in a Milestone
- Failure to develop a Provisional Plan
- Failure to meet the requirements of a Provisional Plan
- A final performance designation of Fail for a thesis, major project/paper, or dissertation
- Failure to complete the graduate program within the allowable time to completion.

A student who has been withdrawn from the program is not eligible for readmission into that program.

OPEN:

An academic standing has not been assigned and the student may continue in the program; normally assigned when there is an INP or INC grade during the term.

# SECTION B: MASTER'S SUPERVISION AND THE THESIS, MAJOR RESEARCH PAPER OR PROJECT

#### 5. Faculty Advisor/Supervisor

5.1 At the time of enrolment in a program, a student will normally be assigned a faculty advisor or supervisor who is a Yeates School of Graduate Studies member from the student's program. If a faculty advisor is initially assigned to a student in a program in which research is involved, a supervisor will be assigned as soon as the student's research area is identified.

In consultation with the student, the faculty advisor/supervisor will recommend an initial Program of Study and submit this for approval to the Program Director normally within the first four weeks of the program. The role of the faculty advisor/supervisor is to provide academic advising, monitor the student's progress toward the completion of the program, and ensure that a *Progress Report* is submitted to the Program Director at the end of each term.

Where a thesis, major research paper or major project is part of a student's curriculum, the student's supervisor will recommend a *Program of Study*. In addition, the supervisor shall:

- 5.1.1 meet regularly with the student;
- 5.1.2 review the student's proposal and recommend its approval to the Program Director normally not less than one to two terms (depending on the length of the program) prior to the expected date of program completion;
- 5.1.3 review the student's progress on the thesis/mrp at least once a term. The student's progress must be reported on the *Progress Report*, which is to be written by the supervisor in consultation with the student. If the progress is deemed unsatisfactory (i.e. a UNS designation is given on the *Progress Report*), detailed reasons for this judgement should be included on the report, as well as specific instructions on deliverables for the following term. The student's Academic Standing will become Provisional and the *Progress Report* in this case may act as the *Provisional Plan*. A copy of the report should be given to the student, the Program Director, and the Director of Academic Administrative Services, Yeates School of Graduate Studies.
- 5.1.4 assign an UNS assessment for the term's progress on a Progress Report, in the event that the student does not present a Progress Report for review, and the student's Academic Standing will be adjusted accordingly;
- 5.1.5 evaluate the readiness of the thesis (and the paper or project if required) to be examined orally, and make a recommendation to the Program Director regarding a date for the defence and the composition of the Examining Committee;
- 5.1.6 ensure that a copy of the student's thesis is sent to each member of the student's Examining Committee as far as possible in advance of a scheduled oral examination, but no less than three weeks prior to the date scheduled.

#### 6 Master's Examinations

# 6.1 Requirement for examination 19

Master's theses are subject to formal oral examinations. Major research papers or projects that are not components of individual courses in a program may be subject to formal written and/or oral examination as required by the program.

# 6.2 Readiness for Examination

The supervisor, in consultation with the student, will determine that the written work is ready to stand for defence and will establish an Examining Committee and schedule the defence.

In cases where consensus is not reached with regard to readiness, the student has the right to petition the program Director in order to have the written work stand for defence, establish the Examining Committee and schedule the defence. Where the Program Director is the student's supervisor, the student may petition the Dean of Graduate Studies.

# 6.3 <u>Examining Committee Composition</u>

# 6.3.1 Thesis Examining Committees

The Examining Committee will be composed of four members: the student's supervisor; the Program Director or designate; one faculty from the student's program who is a member of YSGS; and one faculty member who is not involved in the student's research but who is a member of YSGS and who may or may not be from within the program. Where there is a co-supervisor, one vote shall be shared. The Program Director, or designate, shall serve as the non-voting Chair of the committee. The Dean may approve the appointment of an expert

<sup>&</sup>lt;sup>19</sup> In special circumstances, alternate arrangements may be made for an oral examination, as approved by the Program Director.

professional in the field of the thesis, or a Ryerson University faculty member who is not a member of the Yeates School of Graduate Studies, to serve as a member of the Examining Committee.

# 6.3.2 MRP Examining Committees

Where an oral examination of a major research project or paper is part of the student's Program of Study, the student's supervisor after consultation with the student, will recommend to the Program Director the appointment of an Examining Committee. The Examining Committee will normally be composed of three members: the student's supervisor(s); the Program Director or designate; and one faculty member from the student's program who is a member of YSGS. The Program Director, or designate, shall serve as the non-voting Chair of the committee. The Dean of Graduate Studies may approve the appointment of an expert professional in the field of the research paper/project, or a Ryerson University faculty member who is not a member of the Yeates School of Graduate Studies, to serve as a member of the Examining Committee.

MASTER'S EXAMINING COMMITTEES				
	Major Research Paper/Project (where required by the program)			
	Number of Number of votes Number of members Number of members		Number of votes	
Supervisor(s)	1 or more	1	1 or more	1
Program Director or designate	Chair	0 unless a tie	Chair	0 unless a tie
YSGS Faculty - within program	1 or 2	1 or 2	1	1
YSGS Faculty - outside of program	1 if only 1 within the program	1 if only 1 within the program		

# 6.4 Conduct of the Oral Examination (Master's)

# 6.4.1 Circulation of the thesis

The candidate will provide sufficient copies of the thesis for each committee member. A copy will be given to each member of the committee no less than four weeks before the defense.

# 6.4.2 Role of the Chair

The Chair is responsible for maintaining decorum during the examination, and ensuring that the candidate is given a fair and equitable assessment of his/her work.. The examination is public, but the Chair has the authority to exclude persons whose conduct disturbs the examination. The Chair then presides over the deliberations of the Examining Committee but is a non-voting member, except in the case of a tie.

#### 6.4.3 Non-Attendance

Any member of the committee that cannot attend the defense must submit a written report to the Chair at least one week before the defense. The Chair will then appoint a delegate, who cannot be an existing member of the committee, to carry the absent member's report to the examination.

No more than two members may be absent from the defense. The supervisor(s) must attend the defense. If any committee member is absent, and has not been replaced by a delegate, the examination may proceed only with the approval of the student and the Dean of Graduate Studies or his/her delegate. A delegate has the status of a committee member, and her/his vote substitutes for that of the absent member.

#### 6.4.4 Presentation

The examination begins with an oral presentation by the candidate using whatever aids are required to make an effective presentation. This presentation should be limited to no more than 20 minutes, with the focus being on the main contributions and conclusions of the work.

#### 6.4.5 Questions (which follow the oral presentation)

The Chair gives priority to questions from members of the committee. Usually the questions by the supervisor are last. The Chair must ascertain that all of the questions from an absent committee member have been adequately presented by the delegate who may also pose any additional questions deemed necessary. If found to be appropriate or relevant, the Chair may ask questions that have been previously submitted to the committee by non-committee members in attendance.

#### 6.4.6 Deliberations

Only the Examining Committee will be present during deliberations.

#### 6.4.7 Decisions

The decision of the Examining Committee shall be by vote and shall be based on the written work and on the candidate's ability to defend it. The student must pass both the oral examination and the written work. The "Report of the Oral Examining Committee" should be completed and signed by the members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. The following decisions are open to the Examining Committee:

#### Oral Examination:

- Pass
- Fail

#### Written work:

#### Accept

Any minor revisions ranging from typographical errors to specified insertions or deletions that do not radically modify the development/argument of the paper shall be clearly specified in writing and the student's Supervisor shall ensure that they are made. When the Supervisor confirms that the changes have been made, the examination requirement has been met.

# Major Revision

Detailed reasons for referring the paper for major revision ranging from re-writing a large part of a chapter to including additional work will be supplied in writing by the Chair of the Examining Committee to the Dean, the Program Director and the candidate within one week following the oral examination.

The Examining Committee must give final approval to the major revisions by reconvening the examination or by consultation.

If the decision by consultation on the major revisions is unsatisfactory, the examination is reconvened.

Upon reconvening, a final "Report of the Oral Examining Committee" should be completed and signed by all members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. Decisions are limited to Accept or Fail.

# Fail

Detailed reasons for the decision will be supplied in writing by the Chair of the Examining Committee to the Dean, the Program Director, and the candidate within one week following the oral examination.

# 6.4.8 Copies of the Written Work

All copies of the written work must be returned to the student upon conclusion of the oral examination

#### 6.4.9 Recommendation for Governor-General's Gold Medal for Academic Excellence

The Chair of the Examining Committee must determine whether the Committee wishes to recommend the candidate for the Governor-General's Gold Medal for Academic Excellence.

#### 6.5 Submission and Deposit of a Thesis

Following the successful examination and completion of all corrections or revisions, the candidate will submit the final copy of the approved thesis, along with verification by the candidate's supervisor and Program Director, that all required corrections or revisions have been made, in accordance with the submission procedures of the Yeates School of Graduate Studies.

If, at the time of final submission, the candidate wishes to protect any rights to publication or to obtain a patent that may arise from the candidate's research, or to delay circulation of the document for any other legitimate academic reason, the candidate may apply in writing to the Dean of Graduate Studies to withhold the thesis from circulation or copying for a period of no more than twelve months from the date of successful final examination.

As a condition of engaging in a graduate program of the University, the author of a thesis grants certain licenses and waivers with respect to the circulation and copying of the thesis. These licenses and waivers take effect upon the submission of the copies listed above, except in the case defined in the previous paragraph, where they will take effect following the period of withholding. They are as follows:

- 6.5.1 the University Library will be permitted to allow consultation of the thesis as part of the library collection and the making of single copies for another library or similar institution or for an individual for private study and research; and
- 6.5.2 submission of theses to the Library and Archives Canada will be made as agreed to by the candidate on the *Library and Archives Canada Non-exclusive Licence to Reproduce Theses* form. The LAC does not accept major research papers/projects.

#### SECTION C: DOCTORAL SUPERVISION AND THE DISSERTATION

# 7 Faculty Advisor/Supervisor

At the time of enrolment in a Doctoral program, a student will normally be assigned a faculty advisor or supervisor who is a Yeates School of Graduate Studies member from the student's program. If a faculty advisor is initially assigned, a supervisor will be assigned as soon as the student's research area is identified.

In consultation with the student, the faculty advisor/supervisor will recommend an initial Program of Study and submit this for approval to the Program Director normally within the first four weeks of the program. The role of the faculty advisor/supervisor is to provide academic advising, monitor the student's progress toward the completion of the program, and ensure that a *Progress Report* is submitted to the Program Director at the end of each term.

#### 8. PhD Preparatory Phase

Every Doctoral program requires that the student complete one or more preparatory or foundation phases. This may take the form of one or more of the following examples: comprehensive examination, candidacy examination, qualifying examination, dissertation proposal, and/or proposal defence.

The preparatory phase requirements must normally be successfully completed within two years, and no later than three years, from the date of program registration.

In addition, individual programs may require an internal preliminary examination before approval to go to formal presentation which may include an external examination.

# 9. Dissertation

9.1 Supervision

The student's Supervisor, after consultation with the student, will recommend to the Program Director the appointment of a Dissertation Supervisory Committee of two to four persons, composed of the Supervisor (and co-Supervisor(s), if applicable) and at least one other Yeates School of Graduate Studies faculty member from the student's program. Where appropriate, a Yeates School of Graduate Studies faculty member from outside the student's program, a faculty member from outside the Yeates School of Graduate Studies or an expert professional In the field of the dissertation may be recommended as a member of the Dissertation Supervisory Committee, subject to the approval of the Dean of Graduate Studies.

The Program Director shall forward to the Dean of Graduate Studies the recommendations for committee appointments not later than the beginning of the third year of study. The supervisor will chair the Supervisory Committee.

The Supervisor will chair the Supervisory Committee.

The Supervisor together with the Supervisory Committee shall:

- 9.1.1 meet regularly with the student;
- 9.1.2 review the student's background preparedness, and set the dates for the Preparatory evaluations.
- 9.1.3 Upon successful completion of the Preparatory Phase, the Supervisory Committee shall forward the recommendation to proceed with the research to the Program Director for approval. Upon unsuccessful completion of the comprehensive exam, detailed reasons for the decision will be supplied in writing by the Supervisor to the Dean of Graduate Studies, the Program Director and the student within two weeks;
- 9.1.4 formally approve the dissertation proposal;
- 9.1.5 review the student's progress on the dissertation at least once a term. The progress must be reported on the Progress Report, which is to be written in consultation with the student. If the progress is deemed unsatisfactory (i.e. a UNS designation is given on the Progress Report), detailed reasons for this judgement should be included on the Progress report, as well as specific instructions on deliverables for the following term. The student's Academic Standing will become Provisional and the Progress Report in this case will act as the Provisional contract. A copy of the Progress Report should be given to the student, the Program Director, and the Director of Academic Administrative Services, Graduate Studies.
- 9.1.6 evaluate the readiness of the dissertation to be examined, and make a recommendation to the Program Director regarding the formation of the Dissertation Examining Committee (as outlined in 9.3)
- 9.1.7 ensure that a copy of the student's dissertation is sent to the external examiner as far as possible in advance of a scheduled oral examination, but no less than six weeks prior to the date scheduled.
- 9.1.8 ensure that a copy of the student's dissertation is sent to all other members of the student's Examining Committee as far as possible in advance of a scheduled oral examination, but no less than four weeks prior to the date scheduled.

# 9.2 Readiness for Examination

The supervisor, in consultation with the student, will determine that the dissertation is prepared to stand for defence.

In cases where consensus is not reached with regard to readiness, the student has the right to petition the Program Director in order to have the dissertation stand for defence. Where the Program Director is the student's supervisor, the student may petition the Dean of Graduate Studies.

# 9.3 Examining Committee

The student's Supervisory Committee, after consultation with the student, will recommend to the Program Director the appointment of an Examining Committee. The Examining Committee will normally be composed of the <a href="supervisor/co-supervisor">supervisor/co-supervisor</a>; two faculty members from the student's program who are members of YSGS; one faculty member from outside of the program who is a member of the Yeates School of Graduate

Studies; one member external to the University who is an expert in the field of the dissertation, experienced with PhD level graduate studies, and at arm's length from the dissertation; and the Dean of Graduate Studies or designate, who shall serve as the non-voting Chair of the committee If appropriate, an additional member may be recommended who is an expert professional in the field of the dissertation, or a Ryerson University faculty member who is not a member of the Yeates School of Graduate Studies.

The Program Director shall forward his/her recommendation regarding the composition of the Dissertation Examining Committee to the Dean of the Yeates School of Graduate Studies for approval and appointment. When the committee is appointed, the Supervisor will set the examination date.

DOCTORAL EXAMINING COMMITTEE				
Number of members Number of votes				
Supervisor(s)	1			
YSGS Faculty - Within program	2	2		
YSGS Faculty - Outside program	1	1		
Dean, YSGS or designate	Chair	0 unless a tie		
External Examiner	1	1		

#### 9.5 Conduct of the Oral Examination

# 9.5.1 Copies of the dissertation

The candidate will provide to the supervisor sufficient copies of the dissertation for all committee members.

#### 9.4.2 External Examiner's Report

A response will be sent to the Program Director within four weeks from the receipt of the dissertation. The external examiner's report shall be given to the supervisor and the student one week before the examination

#### 9.4.3 Role of the Chair

The Chair is responsible for maintaining decorum during the examination, and ensuring that the candidate is given a fair and equitable assessment of his/her work. The examination is public, but the Chair has the authority to exclude persons whose conduct disturbs the examination. The Chair then presides over the deliberations of the Examining Committee but is a non-voting member, except in the case of a tie.

# 9.4.4 Non-Attendance

If the External Examiner is not in attendance, a delegate who is not another member of the committee shall present the external examiner's questions to the candidate.

If an internal member of the committee cannot attend the defense, he/she must submit a written report to the Chair at least one week before the defense. The Chair will then appoint a delegate, who cannot be an existing member of the committee, to carry the absent member's report to the examination.

No more than two members may be absent from the defense. The supervisor must attend the defense. If any committee member is absent, and has not been replaced by a delegate, the examination may proceed only with the approval of the student and the Dean of Graduate Studies or his/her delegate. A delegate has the status of a committee member, and their vote substitutes for that of the absent member.

#### 9.4.5 Presentation

The examination begins with an oral presentation of the dissertation by the candidate using whatever aids are required to make an effective presentation. This presentation should normally be limited to no more than 20 minutes, with the focus being on the main contributions and conclusions of the work.

#### 9.4.6 Questions (which follow the oral presentation)

The Chair gives priority to questions from members of the committee. Usually the external examiner's questions are presented first followed by those of the committee, with those of the supervisor last.

The Chair must ascertain that all of the questions from an absent committee member have been adequately presented by the delegate who may also pose any additional questions where appropriate.

If found to be appropriate or relevant, the Chair may ask questions that have been previously submitted to the committee by non-committee members in attendance.

#### 9.4.7 Deliberations

Only the Examining Committee will be present during deliberations. If the external examiner is absent, the delegate presents the external examiner's full report of the dissertation to the committee.

Acceptance of the dissertation will be based on a vote by the committee.

#### 9.4.8 Decisions

The decision of the Examining Committee shall be by vote and shall be based on the dissertation and on the candidate's ability to defend it.

If the external examiner is not in attendance, and the committee cannot reach a decision, the Chair will consult with the External Examiner in a timely manner. If necessary, the Chair will cast the deciding ballot.

The "Report of the Oral Examining Committee" should be completed and signed by the members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. The following decisions are open to the Examining Committee:

#### Oral Examination:

- Satisfactory
- Unsatisfactory

#### Written Dissertation:

Accept

Any minor revisions ranging from typographical errors to specified insertions or deletions that do not radically modify the development/argument of the paper shall be clearly specified in writing and the student's Supervisor shall ensure that they are made. When the Supervisor confirms that the changes have been made, the examination requirement has been met.

#### Major Revision

Detailed reasons for referring the paper for major revision ranging from re-writing a large part of a chapter to including additional work will be supplied in writing by the Chair of the Examining Committee to the Dean, the Program Director and the candidate within one week following the oral examination.

The Examining Committee must give final approval to the major revisions by reconvening the examination or by consultation. If the decision by consultation on the major revisions is unsatisfactory, the examination is reconvened.

Upon reconvening, a final "Report of the Oral Examining Committee" should be completed and signed by all members of the committee. One copy should immediately be given to the student, and a copy should be delivered to the Yeates School of Graduate Studies as soon as possible. Decisions are limited to Accept or Fail.

#### Fail

Detailed reasons for the decision will be supplied in writing by the Chair of the Examining Committee to the Dean, the Program Director, and the candidate within one week following the oral examination.

# 9.4.9 Copies of the Dissertation

All copies of the dissertation will be returned to the student.

#### 9.4.10 Recommendation for Governor-General's Gold Medal for Academic Excellence

The Chair of the Examining Committee must determine whether the Committee wishes to recommend the candidate for the Governor-General's Gold Medal for Academic Excellence.

#### 9.5 Submission and Deposit of Dissertation

Following the successful examination and completion of all corrections or revisions, the candidate will submit the final copy of the approved dissertation, along with verification by the candidate's supervisor and the Program Director, that all required corrections or revisions have been made, in accordance with the submission procedures of the Yeates School of Graduate Studies.

If, at the time of final submission, the candidate wishes to protect any rights to publication or to obtain a patent that may arise from the candidate's research, or to delay circulation of the document for any other legitimate academic reason, the candidate may apply in writing to the Dean of Graduate Studies to withhold the dissertation from circulation or copying for a period of no more than twelve months from the date of successful final examination.

As a condition of engaging in a graduate program of the University, the author of a dissertation grants certain licenses and waivers with respect to the circulation and copying of the dissertation. These licenses and waivers take effect upon the submission of the copies listed above, except in the case defined in the previous paragraph, where they will take effect following the period of withholding. They are as follows:

- the University Library will be permitted to allow consultation of the dissertation as part of the library collection and the making of single copies for another library or similar institution or for an individual for private study and research; and
- ii) Submission to the Library and Archives Canada will be made as agreed to by the candidate on the Library and Archives Canada Non-exclusive Licence to Reproduce Theses form.